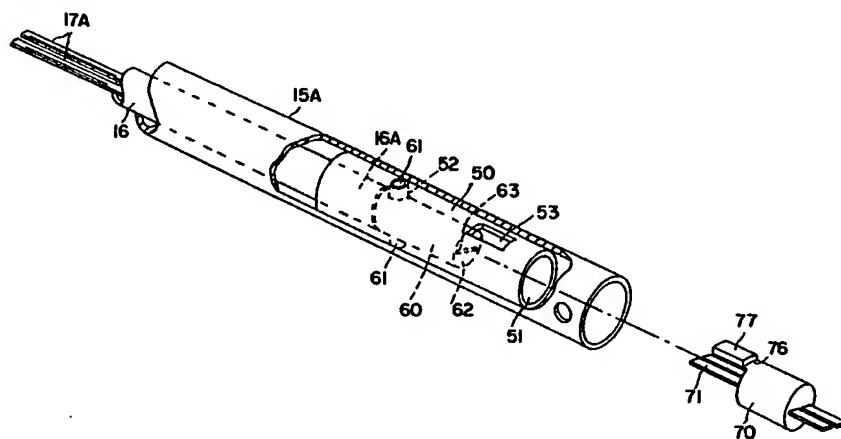




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : A61B 17/38	A1	(11) International Publication Number: WO 94/08524 (43) International Publication Date: 28 April 1994 (28.04.94)
(21) International Application Number: PCT/US93/09674 (22) International Filing Date: 8 October 1993 (08.10.93) (30) Priority data: 07/959,046 9 October 1992 (09.10.92) US (71) Applicant: HEMOSTATIC SURGERY CORPORATION [-/--]; P.O. Box 258, Georgetown, Grand Cayman (KY). (71)(72) Applicant and Inventor: EGGERS, Philip, E. [US/US]; 5366 Reserve Drive, Dublin, OH 43017 (US). (74) Agents: MULLOWNEY, Edward, F. et al.; Fish & Neave, 1251 Avenue of the Americas, New York, NY 10020 (US).		(81) Designated States: AU, CA, FI, JP, KR, NO, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>

(54) Title: ELECTROSURGICAL INSTRUMENTS HAVING A DETACHABLE WORKING END

**(57) Abstract**

Endoscopic surgical instruments are provided that have detachable working ends (70) for use with a reusable handle portion (15A). The detachable working end (70) includes bipolar electrodes (71) on opposing movable members for passing a high frequency current through tissue for simultaneously severing or manipulating the tissue and causing hemostasis of the tissue. An electrically insulating material (60) is interposed between the movable members so that the electrodes (71) are spaced apart from 0.002 to 0.050 inches and the current passes between the opposing electrodes (71) through the tissue.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NE	Niger
BE	Belgium	GN	Guinea	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	HU	Hungary	NZ	New Zealand
BJ	Benin	IE	Ireland	PL	Poland
BR	Brazil	IT	Italy	PT	Portugal
BY	Belarus	JP	Japan	RO	Romania
CA	Canada	KP	Democratic People's Republic of Korea	RU	Russian Federation
CF	Central African Republic	KR	Republic of Korea	SD	Sudan
CG	Congo	KZ	Kazakhstan	SE	Sweden
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovak Republic
CM	Cameroon	LU	Luxembourg	SN	Senegal
CN	China	LV	Latvia	TD	Chad
CS	Czechoslovakia	MC	Monaco	TG	Togo
CZ	Czech Republic	MG	Madagascar	UA	Ukraine
DE	Germany	ML	Mali	US	United States of America
DK	Denmark	MN	Mongolia	UZ	Uzbekistan
ES	Spain			VN	Viet Nam
FI	Finland				

ELECTROSURGICAL INSTRUMENTS HAVING A DETACHABLE WORKING END

This invention relates to hemostatic electrosurgical instruments, and particularly to improved bi-polar electrosurgical instruments having a detachable working end for manipulating and causing hemostasis of tissue during endoscopic surgical procedures.

Background of the Invention

Bipolar hemostatic endoscopic instruments are described in my copending and commonly assigned U.S. patent application Serial No. 07/877,704. The devices described in that application, which is incorporated herein by reference, overcome the disadvantages of previously known devices by providing bipolar electrosurgical instruments having electrically isolated electrodes.

The electrodes at the working end of these instruments may become worn through repeated use, and the surface finishes of the components may deteriorate due to repeated sterilization in conventional high-temperature steam autoclaves. Thus, it would be desirable to provide a bipolar endoscopic instrument having detachable working ends that may be replaceably connected to a reusable handle portion.

In addition, since the need may arise during surgery to employ working ends having different

- 2 -

configurations, for example, curved shears instead of straight-edge shears, it would be desirable to provide a bipolar endoscopic instrument having a reusable handle portion with which differently configured
5 working ends may be removably engaged.

Summary of the Invention

In view of the foregoing, the present invention represents an improvement of the bipolar hemostatic endoscopic instruments described in the
10 foregoing application, by providing detachable and interchangeable working ends. It is therefore an object of the present invention to provide improved endoscopic surgical instruments that have a simple structure, yet provide the necessary electrical
15 isolation of the bipolar electrodes. The bipolar devices constructed in accordance with the present invention confine current flow to the tissue immediately adjacent to the electrodes of the instrument.

20 It is another object of this invention to provide bipolar electrosurgical instruments that have detachable working ends, so that worn working ends may be replaced.

It is another object of the present invention
25 to provide bipolar electrosurgical instruments having reusable handle portions and detachable working ends, so that the working end may be easily detached and replaced by a differently configured working end.

These and other objects are accomplished in
30 accordance with the principles of the present invention by providing bipolar electrosurgical instruments having a reusable handle portion comprising an elongated barrel for insertion through a trocar tube at the patient's skin and handle members for actuating the

- 3 -

instrument, and a detachable working end disposed on the distal end of the elongated barrel. Engagement means are provided for removably connecting the working end to the distal end of the elongated barrel. The
5 instrument includes means for connecting the instrument to a power supply to energize bipolar electrodes at the working end.

Bipolar instruments constructed in accordance with the present invention have a detachable working
10 end that comprises bipolar electrodes and movable members capable of performing any of a number of functions. A layer of insulation is provided on one or both of the mating surfaces of the movable members to maintain electrical isolation of those components. A
15 working end constructed in accordance with the present invention may comprise a scissors-like cutting instrument which simultaneously causes hemostasis of tissue and mechanically severs that tissue in a continuous manner, a dissector-like instrument for
20 grasping and achieving hemostasis of tissue, or a dissector for blunt dissection, which hemostatically separates tissue, any of which may be interchangeably used with the reusable handle portion.

In a first embodiment of the detachable
25 working end, the movable members of the working end comprise scissor members having opposing mating surfaces. Electrodes associated with the scissor members conduct high frequency current to tissue to coagulate the blood vessels extending through the
30 tissue while cutting edges of the scissor members mechanically sever the tissue. A layer of insulating material is disposed on at least one of the mating surfaces of the scissor members so that the electrically active portions of the scissor members do
35 not contact each other at any point during operation of

- 4 -

the instrument. Thus, current flows through tissue between the scissor members, but short circuits, which would terminate hemostasis, do not occur. With this arrangement, hemostasis and cutting occurs in a continuous manner along tissue disposed between the scissor members, thereby providing a smooth and precise surgical cut.

Another embodiment of the invention comprises an endoscopic hemostatic dissector, wherein the movable members comprise opposing jaws for simultaneously grasping and causing hemostasis of the tissue. Like the first embodiment, the jaw members include shank portions forming opposing mating surfaces. A layer of insulating material is disposed on at least one of these mating surfaces so that electrically active portions of the members do not contact each other during operation of the instrument.

The movable members of either working end embodiment may be curved so that the tips of the members lie in a plane parallel to, and separate from, the longitudinal axis of the elongated barrel. This feature enhances the surgeon's view of the working end of the instrument, thereby providing greater precision in manipulating the tissue at the operative site.

Brief Description of the Drawings

The above and other objects and advantages of the invention will be apparent upon consideration of the following detailed description, taken in conjunction with the accompanying drawings, in which like reference numerals refer to like parts throughout, and in which:

FIG. 1 is an elevated perspective view of an illustrative embodiment of the instrument of the present invention;

- 5 -

FIG. 2 is an elevation cross-sectional partial side view of the instrument taken along the line 2--2 of FIG. 1;

FIG. 3A is an elevation view of the distal end of instrument 10 taken along the line 3--3 of FIG. 1;

FIG. 3B is a cross-sectional plan view of the outer sleeve and distal end of the elongated barrel of instrument 10, taken along line 3B--3B of FIG. 3A;

FIG. 4 is a perspective cut-away view similar to that of FIG. 3A showing the internal mechanism of the reusable handle of instrument 10;

FIGS. 5A and 5B are exploded side and end elevation views of insulator block 50 of FIG. 4;

FIG. 6A is a partial perspective view of insulator block 50 of the present invention, showing engagement with phantom electrode strips 71;

FIG. 6B is a perspective view of connector block 70 of the present invention;

FIG. 7 is a perspective cut-away view similar to that of FIG. 3A showing the internal mechanism of working end 11 of the present invention;

FIG. 8 is an exploded perspective view of the working end of the instrument taken along line 8--8 of FIG. 3A;

FIGS. 9A, 9B, and 9C show, respectively, cross-sections of alternative embodiments of the detachable working end of the instrument shown in FIG. 3A, taken along line 9--9 of FIG. 3A.

FIGS. 10A and 10B show, respectively, open and closed enlarged cross-sectional views of the detachable working end of the instrument shown in FIG. 3A; and

FIGS. 11A and 11B, respectively, are cross-sectional views, similar to FIGS. 10A and 10B, showing

- 6 -

a dissector embodiment of the working end of the present invention.

Detailed Description of the Invention

Referring to FIGS. 1 and 2, a bipolar
5 electrosurgical instrument 10 for performing endoscopic
surgical procedures is described. While an instrument
constructed in accordance with the principles of the
present invention may include any of a variety of
severing or grasping members at its working end 11, the
10 illustrative embodiment of FIGS. 1 and 2 includes
scissor-like shearing members for simultaneously
severing and causing hemostasis of a patient's tissue.

Instrument 10 includes a reusable handle
portion comprising handle members 12, 13 joined for
15 relative movement at pivot 14, and tubular elongated
barrel 15, and detachable working end 11. Drive rod 16
disposed in elongated barrel 15 has electrical
terminals 17 that are connected to movable members 18
and 19 of working end 11 to provide an electrical
20 potential therebetween.

Handle member 12 has a pistol-like
configuration, including a body portion 20 having a
longitudinal bore 21 and a portion defining a hole for
one or more fingers. Handle member 12 may be made of a
25 light-weight rigid material, for example cast aluminum
or cast zinc alloy. Elongated barrel 15 comprises a
tube having a proximal end mounted in body portion 20
and a distal portion 15A adapted to receive working end
11. The proximal end of elongated barrel 15 is mounted
30 in bore 21 of body portion 20 so that elongated barrel
15 can be rotated about its longitudinal axis.
Elongated barrel may consist of a rigid structural
material, for example a stainless steel alloy, e.g., SS
304, and may include a coating or sleeve of a low

- 7 -

friction, low reflectance material, such as Teflon, on its exterior surface.

Knurled rotation knob 22 is mounted on a portion of elongated barrel 15 disposed in body portion 21, so that it projects through slots 23 intersecting bore 21 of body portion 20. Rotation of knurled knob 22 causes elongated barrel 15 to rotate about its longitudinal axis, thereby also rotating detachable working end 11.

Body member 20 may have bore 24 communicating with bore 21 so that set screw 25 disposed in bore 24 engages elongated barrel 15 substantially perpendicularly to the longitudinal axis of the barrel. Set screw 25 has locking knob 26 at one end and teat 27 at the other end to engage elongated barrel 15. Rotation of locking knob 26 may impose a load on elongated barrel 15 to establish a threshold torque for rotating knurled rotation knob 22. Alternatively, locking knob 26 may be rotated so that teat 27 of set screw 25 effectively locks elongated barrel 15 in a given angular orientation, and against further rotation.

Handle member 13 has a lower portion defining a finger or thumb hole and an upper portion 28 having longitudinal bore 29. Longitudinal bore 29 aligns with longitudinal bore 21 in body portion 20 of handle member 12 when handle members 12 and 13 are joined for relative movement at pivot 14. Handle member 13 comprises a similar material as handle member 12, e.g., a cast aluminum alloy.

Drive rod 16 comprises an electrically non-conducting sturdy rod, having a central bore (not shown) and comprised, for example, of polyaryletheretherkeytone, also known as "PEEK", available from ICI (U.K.), BASF and DuPont. Drive rod

- 8 -

16 has a proximal end 30 disposed within elongated barrel 15 and a distal end adapted to engage with working end 11 as described hereinafter. Proximal end 30 of drive rod 16 has electrical terminals 17

5 projecting from its endface 32, and may include a portion adjacent to its proximal endface 32 that defines a semi-circular groove 33. Electrical leads disposed in the central bore of drive rod 16 connect electrical terminals 17 to the detachable working end.

10 Groove 33 of drive rod 16 is captured in disk 34 between pins 35. Disk 34 seats in circular aperture 36 in upper portion 28 of handle member 13. Disk 34 may comprise a high strength plastic, such as, Ultem (a proprietary plastic of the General Electric Company,
15 Fort Wayne, Indiana, fabricated from polyetherimide), or a ceramic material. Longitudinal bore 37 extends through disk 34 in alignment with longitudinal bore 29 of upper portion 28, for accepting proximal portion 30 of drive rod 16. Disk 34 includes a pair of bores that
20 perpendicularly intersect bore 37, the pair of bores accepting retaining pins 35. Disk 34 is capable of angular movement in circular aperture 36 when handle member 13 rotates relative to handle member 12 about pivot 14.

25 Retaining pins 35, which may comprise a sturdy material such as ceramic or anodized aluminum, engage groove 33 in drive rod 16 so that the drive rod 16 is capable of rotating about its longitudinal axis, but cannot move transversely with respect to retaining
30 pins 35. Accordingly, drive rod 16 is mounted to handle member 13 for rotation about its longitudinal axis in retaining pins 35 and for transverse motion with respect to handle member 12 by virtue of angular movement of disk 34 in aperture 36.

- 9 -

Referring now to FIGS. 3A and 3B, a scissors-like embodiment of detachable working end 11 is described. Detachable working end 11 comprises outer sleeve 40 having a stepped proximal portion 41. Outer sleeve 40 has a length of about 7 cm compared to a length of about 33 cm for elongated barrel 15; both have outer diameters appropriate for use with conventional trocar tubes, i.e., about 5 mm. Protrusions 42 extend on either side of stepped portion 41 and engage apertures 43 in distal end 15A of elongated barrel 15. As shown in FIG. 3B, protrusions 42 have sloped edges where they contact apertures 43. Outer sleeve 40 of detachable working end 11 is friction fit into distal end 15A of elongated barrel 15 so that protrusions 42 hold outer sleeve 40 in position on elongated barrel 15 during the expected range of outward thrusts on working end 11 imposed by drive rod 16. Thus, outer sleeve 40 is held securely on distal end 15A of elongated barrel 15 during normal use, but may be detached from elongated barrel 15 by the application of sufficient force on detachable working end 11 while holding elongated barrel 15.

Also shown in FIG. 3A is access window 15B in distal end 15A of elongated barrel 15. Access window 15B provides access to window 53 of drive rod sleeve 50, as described hereinafter. Access window 15B and window 53 of drive rod sleeve 50 register only when drive rod 16 is at the distal-most limit of its stroke. The distal end of outer sleeve 40 includes diametrically opposed U-shaped slots 44 extending proximally from the distal end of outer sleeve 40. Apertures 45 in the distal end of outer sleeve 40 align across the diameter of the sleeve to accept insulating pivot pin 46.

- 10 -

Detachable working end 11 of instrument 10 includes first and second members 18 and 19.

Members 18 and 19 are configured to constitute the individual electrodes of a bipolar electrode instrument, as described in greater detail hereinafter. First and second members 18 and 19 comprise, for example, scissor halves pivotally connected by insulating pivot pin 46. Tube insulator halves 47 are disposed adjacent to the exterior surfaces of members 18 and 19 to electrically insulate those members from outer sleeve 40. Insulating pivot pin 46 has its ends flush with the outer surface of outer sleeve 40 and extends, from side to side, through a first tube insulator half 47, members 18 and 19, and a second tube insulator half 47.

Insulating pivot pin may comprise an electrically insulating metallic pin, e.g., anodized aluminum, having its ends deformed by peening. Alternatively, insulating pivot pin 46 may comprise a rod-like member having a threaded recess at either end to accept a screw. The screws engage the threaded recesses and permit an adjustable compressive load to be applied to outer sleeve 40, and hence members 18 and 19.

Referring to FIG. 4, distal end 15A of the reusable handle portion of the present invention is described. As shown in FIG. 4, distal end 16A of drive rod 16 is coupled to drive rod sleeve 50 and electrical leads 17A connected to electrical terminals 17 pass through the central bore of drive rod 16. Drive rod sleeve 50 comprises a hollow sturdy sleeve, comprised of, for example, copper, having central bore 51 and diametrically opposed apertures 52. Drive rod sleeve 50 is bonded to distal end 16A of drive rod 16 using conventional bonding means such as glue, epoxy or a

- 11 -

fastening pin (not shown). Drive rod sleeve 50 also includes window 53 for engaging latching tongue 76 and button 77 of detachable working end 11. As will be readily understood from FIG. 4, drive rod 16 and drive rod sleeve 50 coupled thereto reciprocate within the bore of elongated barrel 15 when handle members 12 and 13 are actuated.

Insulating block 60 is disposed within central bore 51 of drive rod sleeve 50. Insulating block 60 has upper and lower protrusions 61 that engage apertures 52 in drive rod sleeve 50, thereby fixing insulating block 60 within drive rod sleeve 50. Distal endface 62 of insulating block 60 includes slots 63 for accepting electrode strips 71 extending from the proximal endface of connector block 70 of working end 11.

As shown in FIGS. 5A, 5B and 6A, insulating block 60 may be formed of two injection molded halves 64. Insulating block 60 includes two parallel chambers 65 disposed perpendicularly to and communicating with slots 63. One electrical contact element 66 is disposed in each of chambers 65. Chambers 65 are dimensioned to engage the edges of electrical contact elements 66 securely and include widened portions 67 where they intersect slots 63 to enable fingers 68 and 69 to flex slightly to accept the electrode strips projecting from connector block 70, discussed hereinafter.

Electrical contact elements 66 are connected at the proximal end by conventional means, for example, by crimping or soldering, to electrical leads 17A. The distal ends of electrical contact elements 66 comprise fingers 68 and 69 that engage electrode strips 71 of connector block 70 of working end 11. Electrical contact elements 66 are comprised of a resilient alloy

- 12 -

or metal, for example, a copper alloy containing 2% beryllium and 98% copper, and may be gold plated to facilitate a low impedance electrical connection with electrode strips 71 of working end 11.

5 Referring now to FIGS. 6A and 6B, insulator block 60 of the reusable handle portion is shown mated (in phantom lines) to connector block 70 of detachable working end 11. Connector block 70 is formed of sturdy electrically non-conducting material, for example,
10 polyetherimide, and includes electrode strips 71 extending through passages 72 formed in connector block 70. Electrode strips 71 include a radiused indentation 73 that cooperates with plastic capture pin 74. Capture pin 74 is disposed in bore 75 that intersects
15 passages 72, so that when capture pin 74 is inserted in bore 75, it engages the radiused indentations in electrode strips 71, thereby securing the electrode strips in position. Connector block 70 includes latching tongue 76 having raised button 77. Button 77
20 is dimensioned to interengage with window 53 in drive rod sleeve 50 (see FIGS. 3A and 4), but does not extend into or engage with access window 15B in elongated barrel 15.

Button 77 is released from this engagement
25 with window 53 of drive rod sleeve 50 by moving drive rod 16 to its distal-most limit, at which location window 53 registers with access window 15B in elongated barrel 15. When drive rod 16 is moved to this position (i.e., handle members 12 and 13 are spread apart)
30 button 77 may be flexed inward by the user by depressing button 77 through access window 15B and window 53. By pulling on outer sleeve 40 while depressing button 77, working end 11 may be detached from the reusable handle portion of instrument 10.

- 13 -

As shown in FIG. 7, connector block 70 is slidably disposed within outer sleeve 40, where it is coupled to drive block 80. Connector block 70 and drive block 80 are disposed for reciprocation within outer sleeve 40. Drive block 80, like drive rod 16, may be formed of polyetherimide or polyaryletheretherkeytone, and includes slot-like passageways 81 to accept electrode strips 71 extending from connector block 70. Electrode strips include a second radiused indentation 73A so that second capture pin 82 disposed in bore 83 secures electrode strips in position, as described above with respect to capture pin 74. Electrode strips 71 have leads 84 soldered to their distal ends that are in turn connected to receptacles 85. Receptacles 85 electrically couple electrode assembly 90, to be described hereinafter, to the power supply via terminals 17, leads 17A and electrode strips 71. Drive block 80 also includes yoke 86, and transverse bores 87, for engaging electrode assembly 90, as described hereinafter.

Referring now to FIG. 8, electrode assembly 90 comprises semi-circular electrode halves 91 separated by insulating strip 92. Insulating strip 92 extends from the distal end of drive block 80 to a position near the shanks of the movable members to form a slot for accepting the shanks of the movable members 18 and 19. The inner surfaces of electrode halves 91 should not include a layer of insulating material, because insulating strip 92 serves to electrically isolate the electrode halves from each other and the inner surfaces of electrode halves 91 must make sliding electrical contact with shanks 114 and 124 of movable members 18 and 19.

The outer surfaces of electrode halves 91 are coated with an abrasion-resistant electrically

- 14 -

insulating material 93 that electrically isolates the electrode halves from outer sleeve 40. Insulating material 93 may comprise, for example, glass, ceramic, Teflon or polyetherimide. Insulating drive pin 94
5 extends through apertures 95 located near the distal endfaces of the electrode halves.

Still referring to FIG. 8, electrode halves 91 are affixed to either side of insulating strip 92 by insulating pins 97. Insulating pins 97 extend through
10 apertures 98 in electrode halves 91 and apertures 99 in insulating strip 92, respectively. Insulating pins may comprise a sturdy electrically insulating material, for example, ceramic or anodized aluminum.

The proximal end of insulating strip 92 is
15 inserted into slot 86 in the distal end of drive block 80, and is affixed to the distal end of drive block 80 by pins 100. Pins 100 extend through apertures 87, provided for that purpose adjacent slot 86 in drive block 80, and apertures 101 in insulating strip 92,
20 respectively. Pins 100 may comprise a sturdy electrically conducting or insulating material, inasmuch as pins 100 do not form a part of the electrical circuit of instrument 10. Thus, pins 100 may comprise, for example, either stainless steel or
25 alumina. Electrode halves 91 are electrically connected to receptacles 85 via electrical connectors 102 projecting from the proximal endfaces of electrode halves 91, or may be soldered using lead wires to connect electrode halves 91 to electrode strips 71.

30 As shown in FIGS. 8 and 9A-C, members 18 and 19 include, respectively, shearing surfaces 110 and 120, cutting edges 111 and 121, exterior surfaces 112 and 122, apertures 113 and 123, and shank portions 114 and 124. A thin layer 130 of insulating coating is
35 provided on one (FIG. 6B) or both (FIG. 6A) of the

- 15 -

opposing mating surfaces of members 18 and 19, including one or both of the shearing surfaces 110 and 120, and one or both of the mating surfaces of the shank portions 114 and 124.

5 Members 18 and 19 are configured to constitute the individual electrodes of a bipolar electrode instrument. In a first family of embodiments, illustrated in FIGS. 6A and 6B, opposing members 18 and 19 are made of an electrically
10 conducting material and serve as both the electrodes and shearing surfaces. In a second family of embodiments, illustrated in FIG. 6C, the opposing members are made of an electrically insulating material and have electrically conductive portions disposed on
15 the exterior surfaces. In FIGS. 6A through 6C, members 18 and 19 are shown in contact with tissue 200.

For the scissors-like embodiment of the working end shown in FIGS. 1-8, members 18 and 19 may be constructed of metallic alloys that offer good
20 electrical conduction, adequate hardness and tensile strength sufficient to allow the members to be oriented toward each other to effect adequate wiping at the cutting edges. Materials having these characteristics include stainless steel, e.g., 301, 302, 304 and 316,
25 martensitic stainless steels, e.g. 410, 420, 430 and 440, and precipitation hardened steels, e.g., 17-4PH and 17-7PH alloys. The use of such materials permit members 18 and 19 to be formed by numerous methods, including forging followed by machining, die casting,
30 metal injection molding, and electrodischarge machining (EDM) cut-out of the features.

Exterior surfaces 112 and 122 of the members may have optional coating 131 of a high electrical and thermal conductivity material, e.g., silver or copper,
35 other than on their respective shearing surfaces 110

- 16 -

and 120. Coating 131 facilitates good electrical contact between exterior surfaces 112 and 122 and the tissue that comes into contact with those surfaces as members 18 and 19 are moved relative to one another.

5 Coating 131 reduces localized heating of the exterior surfaces 112 and 122 of members 18 and 19 by dissipating the heat throughout the thermally conducting surface area of the coating. Coating 131 also reduces the likelihood that joulean heating of
10 members 18 and 19 will occur, because any localized current flow is re-distributed throughout the entire coating. Consequently, coating 131, if applied, reduces thermal decomposition and sticking of blood and tissue to exterior surfaces 112 and 122 during use.

15 Layer 130 of insulating coating covers the inside face of one or both of cutting edges 111 and 121, so that the cutting edges are electrically isolated from each other. Thus, current flows through tissue 200 between exterior surfaces 112 and 122 of
20 members 18 and 19 in the region near cutting edges 111 and 121, while ensuring that members 18 and 19 do not electrically contact each other within the range of the cutting or opening motion of the members.

Consequently, hemostasis of tissue occurs at
25 a location just in advance of the cutting point while cutting edges 111 and 121 simultaneously sever the hemostatically heated tissue. This configuration enables the cutting edges to contact each other to sever tissue while preventing short circuiting, which
30 would impede simultaneous coagulation of the blood vessels extending through the tissue. Layer 130 substantially prevents current flow directly between opposing shearing surfaces 110 and 120 when members 18 and 19 are closed together. Rather, the current flows
35 through the path of least resistance between the

- 17 -

electrodes, i.e., through the tissue in direct contact with regions 132 and 133, respectively, of exterior surfaces 112 and 122 of the members. This current flow is represented schematically by flux lines 201 shown in
5 FIGS. 6A-6C.

The arrangement of the present invention confines current flow between regions 132 and 133 of exterior surfaces 112 and 122 to a region from where cutting edges 111 and 121 contact each other to a point
10 distal to the cutting point. That distal point is where either the tissue no longer forms an electrical connection between the electrode surfaces or the spacing between members 18 and 19 is sufficiently large that the current density is too low to cause
15 significant joulean heating of the tissue.

It is therefore apparent that as members 18 and 19 gradually close together, the cutting point moves along cutting edges 111 and 121 distally of insulating pivot pin 46 and is preceded by a region in
20 which a current flows from one member to the other to achieve hemostasis of the tissue. Thus, hemostasis occurs at a location just in advance of the cutting point while cutting edges 111 and 121 simultaneously sever the hemostatically heated tissue.

25 Because shank portions 114 and 124 also move through a range of motion wherein the opposing mating surfaces of shank portions 114 and 124 move across each other, layer 130 disposed on one or both of the opposing mating surfaces of the shank portions prevents
30 electrical shorting between those surfaces as well. Thus, layer 130 electrically isolates shank portions 114 and 124 in the same manner that it electrically isolates shearing surfaces 110 and 120. Alternatively, layer 130 need not be disposed on the interior surfaces
35 of one or both shank portions 114 and 124, but may

- 18 -

comprise an electrically insulating washer disposed, for example, on insulating drive pin 94 between shank portions 114 and 124, thereby separating the shank portions.

5 Referring again to FIG. 8, shank portions 114 and 124 of members 18 and 19 include angled slots 115 and 125. The exterior surfaces of shank portions 114 and 124 contact the interior surfaces of electrode halves 91 within the slot formed by electrode halves 91 and insulating strip 92. Since the interior surfaces
10 of electrode halves 91 are not covered by insulating material 93, electrode halves 91 are in direct electrical contact with shank portions 114 and 124.

Members 18 and 19 and electrode halves 91 are
15 constructed of a metallic material that provides good electrical contact, such that the sliding contact resistance of each member 18 and 19 and its respective electrode half 91 is less than 5 ohms, and preferably less than 1 ohm. The interior surfaces of electrode
20 halves 91 and the exterior surfaces of shank portions 114 and 124 may be gold plated to reduce the sliding electrical contact resistance.

Accordingly, the electrical circuit energizing each bipolar electrode extends from
25 electrical terminals 17 on the proximal portion 30 of drive rod 16, through lead 17A, electrode strip 71, and lead 84 to electrode half 91. The outwardly disposed shank portion of the respective members 18 and 19 are in sliding electrical contact with the interior
30 surfaces of electrode halves 91, thereby providing a voltage potential across the tissue contacting portions of working end 11. Insulating strip 93 electrically isolates electrode halves 91, while layer 130 of insulating material on one or both of members 18 and 19

- 19 -

electrically isolates those members, as described heretofore.

Insulating drive pin 94 extends through slots 115 and 125 of shank portions 114 and 124. The ends of insulating drive pin 94 are disposed in apertures 95 of electrode halves 91 so that they do not interfere with reciprocatory movement of electrode assembly 90 and its associated drive members in outer sleeve 40. Insulating pin 94 may be comprised of, for example, silicon nitride, zirconia, alumina, or other material which has the mechanical strength to withstand the loads imposed on the pins during opening and closing of members 18 and 19, while providing the requisite electrical insulation between shank portions 114 and 124.

As shown in FIGS. 10A and 10B, slots 115 and 125 are configured so that when the handle members are actuated to urge drive rod 16 in a distal direction, insulating drive pin 94 is urged to the distal ends of slots 115 and 125, thereby opening members 18 and 19 (see FIG. 10A). In this first position, working end 11 may be positioned so that members 18 and 19 are located proximate to the tissue, without imposing any mechanical load thereon.

On the other hand, when handle members 12 and 13 are rotated away from each other, drive rod 16 is reciprocated proximally. This motion pulls drive pin 94 toward to the proximal ends of slots 115 and 125, thereby closing members 18 and 19 as shown in FIG 10B. As members 18 and 19 are gradually closed, the cutting point defined by the intersection of cutting edges 111 and 121, moves along those cutting edges, so that a current flows through the tissue to cause hemostasis of the tissue immediately prior to its being severed mechanically. Thus, in this second position,

- 20 -

hemostasis is achieved in the tissue by the current flowing between members 18 and 19, and then mechanically severed.

Layer 130 of electrically insulating material
5 may have a hardness that is greater or substantially greater than the steel or other electrically conducting material used to manufacture conventional scissors-like devices. For example, members 18 and 19 may be made of a martensitic stainless steel, e.g., AISI 420.
10 Insulating layer 130 may then comprise, for example, a ceramic material such as alumina or zirconia, or an inorganic electrically insulating material such as a glass, nitride, boride or synthetic diamond. Depending upon the material selected, layer 130 may be deposited
15 on shearing surface 112 of member 18 by conventional techniques, for example, plasma or flame-sprayed deposition. The applied coating forms a non-conductive cutting edge for that member and has a greater hardness than the steel substrate and the steel of opposing
20 member 19. Consequently, as layer 130 rubs against the cutting edge 121 or shearing surface 120 of member 19, steel shearing surface 120 and cutting edge 121 are mechanically ground or polished by the harder insulating layer 130. Cutting edges 111 and 121 are
25 therefore self-sharpening and remain sharp during continued use.

Insulating layer 130 has a thickness in the range of 0.002 inches to about 0.050 inches, more preferably .003 to .007 inches. The applicant has
30 determined that at thicknesses 0.001 inch or less, the thickness of the insulating layer 130 is insufficient to prevent shorting of the electrodes. Insulating layer thicknesses above 0.002 inches and below 0.050 inches cause adequate hemostasis. It has been
35 observed, however, that the greater the minimum

- 21 -

distance between the proximate current conducting portions of the opposing electrodes in the region of current flow through the tissue, the longer the current path through the tissue and the more difficult it

5 becomes to obtain the desired localized and intense heating to achieve adequate hemostasis. Insulating layer thicknesses above 0.050 inches are believed to be too large for most practical applications, for the ceramic insulating materials described.

10 Referring to FIG. 9C, an embodiment representative of a second family of embodiments constructed in accordance with the present invention is described, with similar components indicated by numbers increased by 100. In this embodiment, which outwardly
15 resembles the instrument of FIG. 10A, opposing members 218 and 219 are made of an electrically insulating material, e.g., a ceramic material such as zirconium oxide or aluminum oxide-based ceramics. The exterior surfaces 212 and 222 of members 118 and 119, i.e.,
20 those portions other than the shearing surfaces 210 and 220 and cutting edges 211 and 221, have coating 230 comprising a material of high electrical and thermal conductivity, e.g., copper, silver or nickel. Coating 230 thereby provides opposing electrodes for conduction
25 of high frequency current through tissue between coatings 230 on exterior surfaces 212 and 222 of members 118 and 119. In this embodiment, coating 230 covers most of the exterior surface of shearing members 118 and 119 such that the current carrying
30 sections closest to cutting edges 211 and 221 are no closer than .002 to 0.050 inches, and more preferably 0.003 to 0.007 inches. With the configuration of the embodiment of FIG. 9C, members 118 and 119 provide the desired insulating material between the electrodes.

- 22 -

Referring now to FIGS. 11A and 11B, an alternate embodiment of working end 11 of the present invention is described, in which like-primed numbers designate similar elements. Jaw-like members 18' and 19' have shank portions 114' and 124', respectively. Shank portions 114' and 124' in turn have angled slots 115' and 125', respectively. Insulated drive pin 94' extends through slots 115' and 125' and has its ends secured in apertures 95' of electrode halves 91'.

Members 18' and 19' have grasping surfaces 110' and 120', teeth 111' and 121', and exterior surfaces 112' and 122', respectively. Teeth 111' and 121' are disposed in opposing relation on grasping surfaces 110' and 120' to grasp tissue captured between members 18' and 19'. Alternatively, the grasping surfaces may include a pattern of pyramidal teeth that serve to grasp the tissue.

As for the embodiment of FIGS. 10A and 10B, members 18' and 19' of the device of FIGS. 11A-B comprise the electrodes of a bipolar device. A thin layer 130' of insulation may be disposed on one or both of the mating surfaces of shank portions 114' and 124' to prevent electrical shorting between members 18' and 19' when those members are moved between the open and closed positions. Alternatively, layer 130' may comprise an insulating washer disposed on insulating drive pin 94' between the shank portions to electrically isolate shank portions 114' and 124'.

Layer 130' of insulating material may in addition cover the opposing surfaces of teeth 111' and 121' of the respective members. Alternatively, teeth 111' and 121' may be dimensioned so that when the members are in the closed position, a gap exists between teeth 111' and 121' sufficient to prevent direct shorting between the members.

- 23 -

Actuation of the handle members of the instrument urges drive pin 94' to move members 18' and 19' from a first position where the members can be disposed around a mass of tissue, to a second position
5 where the members grasp the tissue. Members 18' and 19' therefore move through a graspers-like range of motion, similar to that of a conventional pliers. In the second position, current flows between members 18' and 19' to achieve hemostasis of the tissue captured
10 therebetween.

Exterior surfaces 112' and 122' of members 18' and 19' may have a smooth, rounded, cross-section to facilitate blunt dissection. For example, such an instrument may be inserted -- with members 18' and 19'
15 closed together -- into an incision made in a multilayer tissue mass. In this first position, the tissue merely contacts the outer surface of members 18' and 19', without imposing a substantial mechanical load thereon.

20 The electrodes may then be energized, and jaw-like members 18' and 19' may be gradually opened to separate the layers of tissue while simultaneously causing hemostasis of the tissue. When members 18' and 19' are moved to this second position, the outer
25 surfaces of the members engage the tissue and separate the tissue layers along tissue boundaries without severing.

In addition, members 18 and 19 of working end 11 of the present invention may be configured as shown
30 in my copending U.S. patent application Serial No. 07/877,704. Thus, for example, the tips of members 18 and 19 may be curved so that they lie in a plane parallel to the longitudinal axis of instrument 10. Because the endoscope is typically inserted into the
35 surgical area adjacent to the surgical instrument, the

- 24 -

parallax resulting from the acute angle formed between the endoscope and the surgical instrument may restrict the surgeon's view of the surgical site. Thus, the surgeon may have only a limited view of the working end
5 of the surgical instrument.

Providing a curved working end, so that its tips lie in a plane parallel to the longitudinal axis of elongated barrel 15, resolves this difficulty and enhances the surgeon's view of the working end, thereby
10 enhancing the precision of the surgical procedure. To ensure that the working end of the instrument will pass easily through standard trocar tubes, the tips of members 18 and 19 should not extend beyond the diameter of elongated barrel 15.

15 The various embodiments described herein are presented for purposes of illustration and not limitation, as the present invention can be practiced with endoscopic surgical instruments of any type having two opposing members movable with respect to one
20 another. The instruments and methods of the present invention may be adapted, as may be required, for use in operating on any internal tissue, vessel, or organ.

For example, the present invention may be practiced using an actuating means comprising a pistol
25 style grip having a spring-biased trigger to reciprocate drive rod 16, rather than the handle members described hereinbefore. One skilled in the art will appreciate that the present invention can be practiced by other than the described embodiments, and
30 that the present invention is limited only by the claims that follow.

- 25 -

What Is Claimed Is:

1. A detachable working end for use in endoscopic surgery, the detachable working end adapted to be disposed from an end of a reusable handle portion having an elongated barrel, the detachable working end adapted to be actuated by a drive rod reciprocated through the elongated barrel, the working end comprising:

first and second members movable from a first position to a second position responsive to reciprocation of the drive rod;

an outer sleeve;

means for removably securing the outer sleeve to the elongated barrel to prevent relative motion therebetween;

drive means for coupling the first and second members to the drive rod; and

means for removably engaging the drive means to the drive rod.

2. A detachable working end as defined in claim 1 wherein the first and second members have opposing mating surfaces and the first and second members comprise first and second electrodes, respectively, for passing current through tissue to cause hemostasis thereof, the detachable working end further comprising electrically insulating material interposed between the opposing mating surfaces of the first and second members.

3. A detachable working end as defined in claim 1 wherein the outer sleeve has a longitudinal axis, the first and second members have first and second tips, and the first and second members are curved so that the first and second tips lie in a plane

- 26 -

parallel to and separated from the longitudinal axis of the outer sleeve.

4. A detachable working end as defined in claim 2 wherein the first member comprises a first shearing surface, a first cutting edge, and a first exterior surface and the second member comprises a second shearing surface, a second cutting edge, and a second exterior surface, the detachable working end further comprising:

means for connecting the first and second members so that the first and second shearing surfaces move through a range of motion in a scissors-like cutting action, the scissor-like cutting action defining a cutting point that moves along the first and second cutting edges through the range of motion, the first and second electrodes moving through the same range of motion as the first and second shearing surfaces, wherein the electrically insulating material is interposed between the first and second electrodes so that the first and second electrodes do not contact each other in the range of motion and the current passes between the first and second electrodes distal to the cutting point and not between the first and second shearing surfaces.

5. A detachable working end as defined in claim 4 wherein the first and second members are made of an electrically conducting material, the first electrode comprises the first member, the second electrode comprises the second member, and the electrically insulating material is a first layer of material disposed on the first shearing surface to form the first cutting edge and the first shearing surface.

- 27 -

6. An instrument as defined in claim 5 wherein the first layer has a thickness selected in the range of 0.002 to 0.050 inches.

7. A detachable working end as defined in claim 5 further comprising a second layer of electrically insulating material disposed on the shearing surface of the second member to form the second cutting edge and the second shearing surface.

8. A detachable working end as defined in claim 7 wherein the first layer has a first thickness and the second layer has a second thickness and the sum of the first and second thicknesses is in the range of 0.002 and 0.050 inches.

9. A detachable working end as defined in claim 2 wherein the first member comprises a first grasping surface and the second member comprises a second grasping surface, the detachable working end further comprising:

means for connecting the first and second members so that the first and second grasping surfaces move through a range of motion in a graspers-like action to grasp tissue disposed therebetween, the first and second electrodes moving through the same range of motion as the first and second grasping surfaces, wherein the electrically insulating material is interposed between the first and second electrodes so that the first and second electrodes do not contact each other in the range of motion and the current passes between the first and second electrodes and through the tissue disposed between the first and second grasping surfaces.

- 28 -

10. A detachable working end as defined in claim 9 wherein the first and second members are made of an electrically conducting material, the first electrode comprises the first member and the second electrode comprises the second member.

11. A detachable working end as defined in claim 10 wherein portions of the first and second grasping surfaces define serrations or a pyramidal array for grasping the tissue.

12. An instrument for performing endoscopic electrosurgery on a tissue by passing current through the tissue to cause hemostasis thereof, the instrument comprising:

a reusable handle portion comprising:

an elongated barrel having a proximal end, a distal end and a central bore;

first engagement means disposed at the distal end of the elongated barrel, the engagement means adapted to accept a detachable working end;

a drive rod slidably disposed in the central bore for reciprocation in the proximal and distal directions,

actuating means connected to the proximal end of the elongated barrel for causing reciprocation of the drive rod; and

a detachable working end comprising:

first and second members movable from a first position to a second position responsive to reciprocation of the drive rod;

an outer sleeve;

means for removably securing the outer sleeve to the distal end of the elongated barrel;

- 29 -

drive means coupling the first and second members to the drive rod; and

second engagement means for removably engaging the drive means to the first engagement means.

13. An instrument as defined in claim 12 wherein the actuating means comprises first and second handle members and means for fastening the first and second handle members together for relative movement.

14. An instrument as defined in claim 12 wherein the first and second members have opposing mating surfaces and the first and second members comprise first and second electrodes, respectively, for passing current through tissue to cause hemostasis thereof, the detachable working end further comprising electrically insulating material interposed between the opposing mating surfaces of the first and second members.

15. An instrument as defined in claim 12 wherein the outer sleeve has a longitudinal axis, the first and second members have first and second tips, and the first and second members are curved so that the first and second tips lie in a plane parallel to and separated from the longitudinal axis of the outer sleeve.

16. An instrument as defined in claim 14 wherein the first member comprises a first shearing surface, a first cutting edge, and a first exterior surface and the second member comprises a second shearing surface, a second cutting edge, and a second exterior surface, the detachable working end further comprising:

- 30 -

means for connecting the first and second members so that the first and second shearing surfaces move through a range of motion in a scissors-like cutting action, the scissor-like cutting action defining a cutting point that moves along the first and second cutting edges through the range of motion, the first and second electrodes moving through the same range of motion as the first and second shearing surfaces, wherein the electrically insulating material is interposed between the first and second electrodes so that the first and second electrodes do not contact each other in the range of motion and the current passes between the first and second electrodes distal to the cutting point and not between the first and second shearing surfaces.

17. An instrument as defined in claim 16 wherein the first and second members are made of an electrically conducting material, the first electrode comprises the first member, the second electrode comprises the second member, and the electrically insulating material is a first layer of material disposed on the first shearing surface to form the first cutting edge and the first shearing surface.

18. An instrument as defined in claim 17 wherein the first layer has a thickness selected in the range of 0.002 to 0.050 inches.

19. An instrument as defined in claim 17 further comprising a second layer of electrically insulating material disposed on the shearing surface of the second member to form the second cutting edge and the second shearing surface.

- 31 -

20. An instrument as defined in claim 19 wherein the first layer has a first thickness and the second layer has a second thickness and the sum of the first and second thicknesses is in the range of 0.002 and 0.050 inches.

21. An instrument as defined in claim 14 wherein the first member comprises a first grasping surface and the second member comprises a second grasping surface, the detachable working end further comprising:

means for connecting the first and second members so that the first and second grasping surfaces move through a range of motion in a graspers-like action to grasp tissue disposed therebetween, the first and second electrodes moving through the same range of motion as the first and second grasping surfaces, wherein the electrically insulating material is interposed between the first and second electrodes so that the first and second electrodes do not contact each other in the range of motion and the current passes between the first and second electrodes and through the tissue disposed between the first and second grasping surfaces.

22. An instrument as defined in claim 12 wherein the first and second members are made of an electrically conducting material, the first electrode comprises the first member and the second electrode comprises the second member.

23. An instrument as defined in claim 22 wherein portions of the first and second grasping surfaces define serrations or a pyramidal array for grasping the tissue.

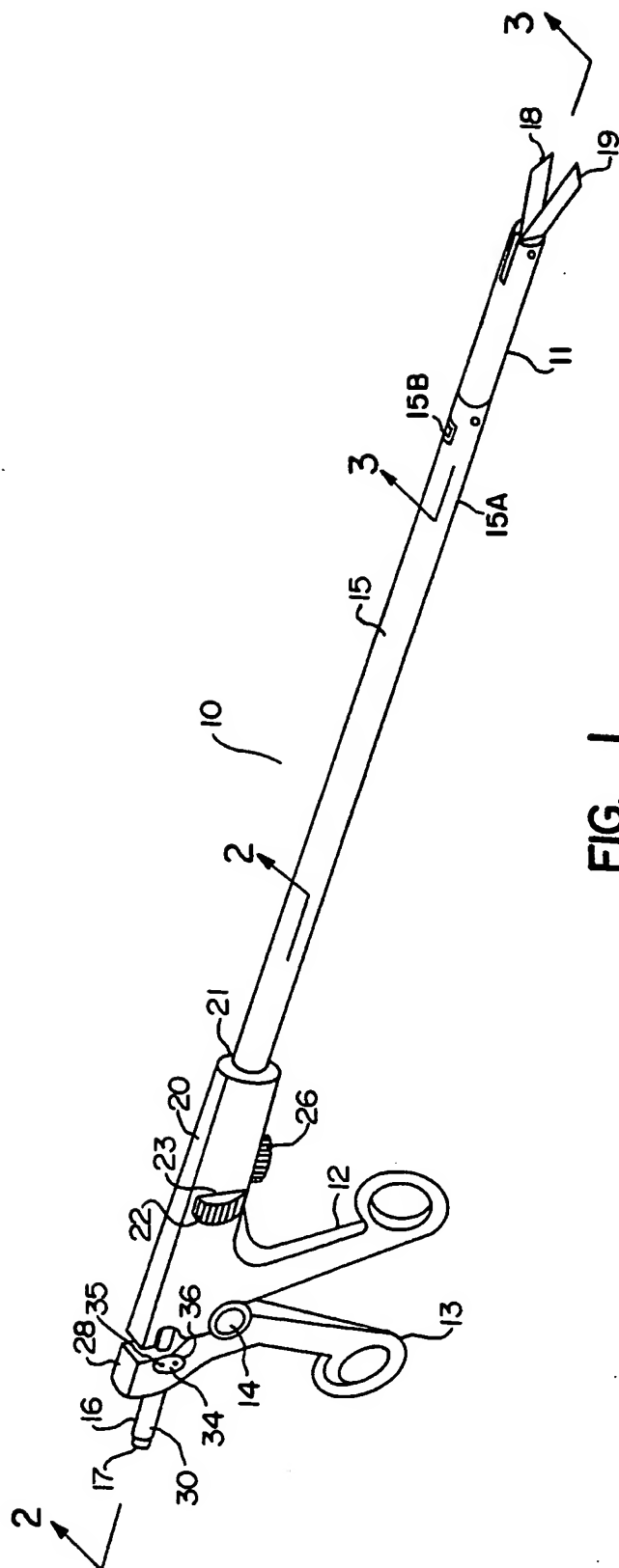


FIG. 1

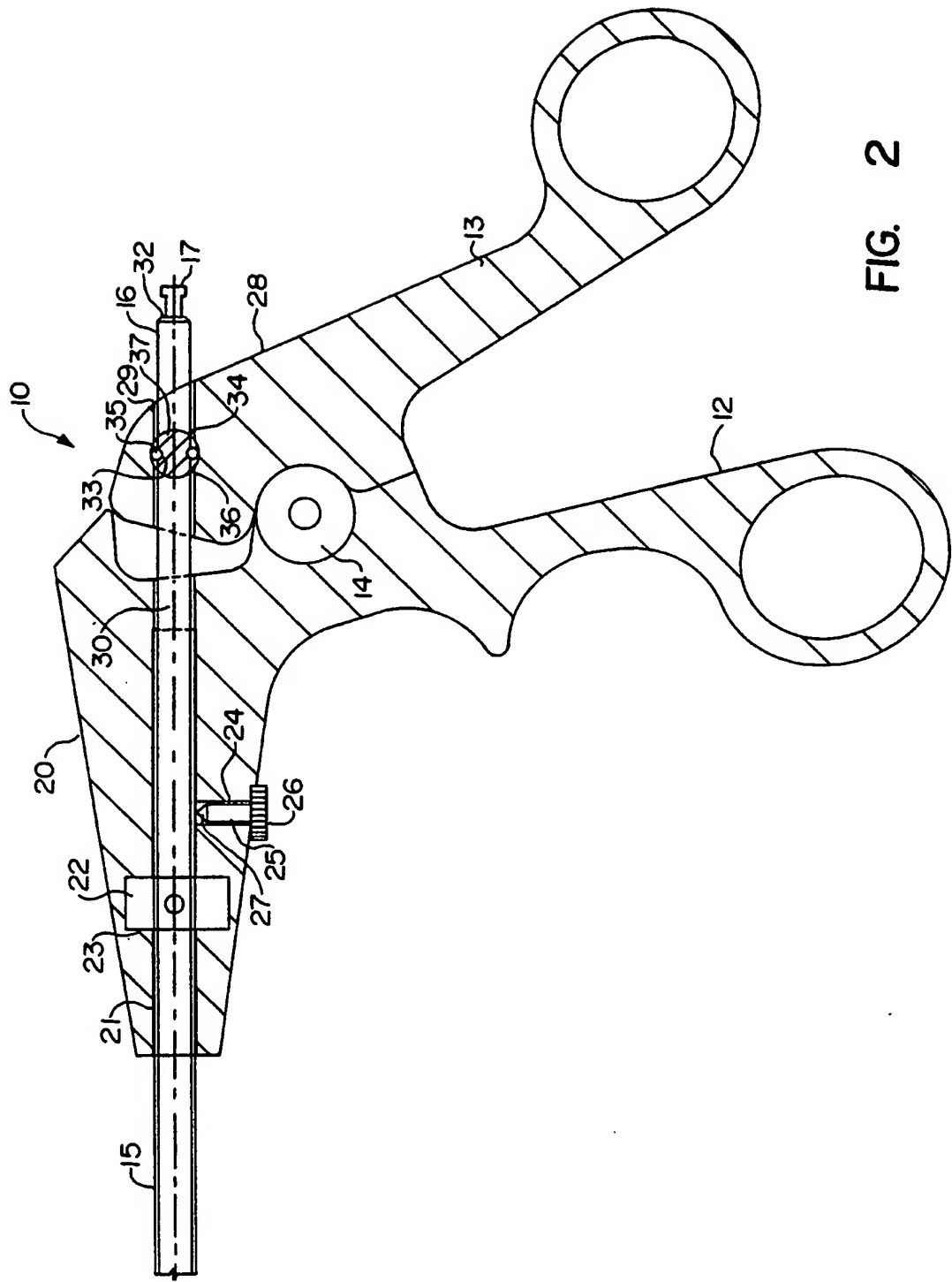


FIG. 2

3/12

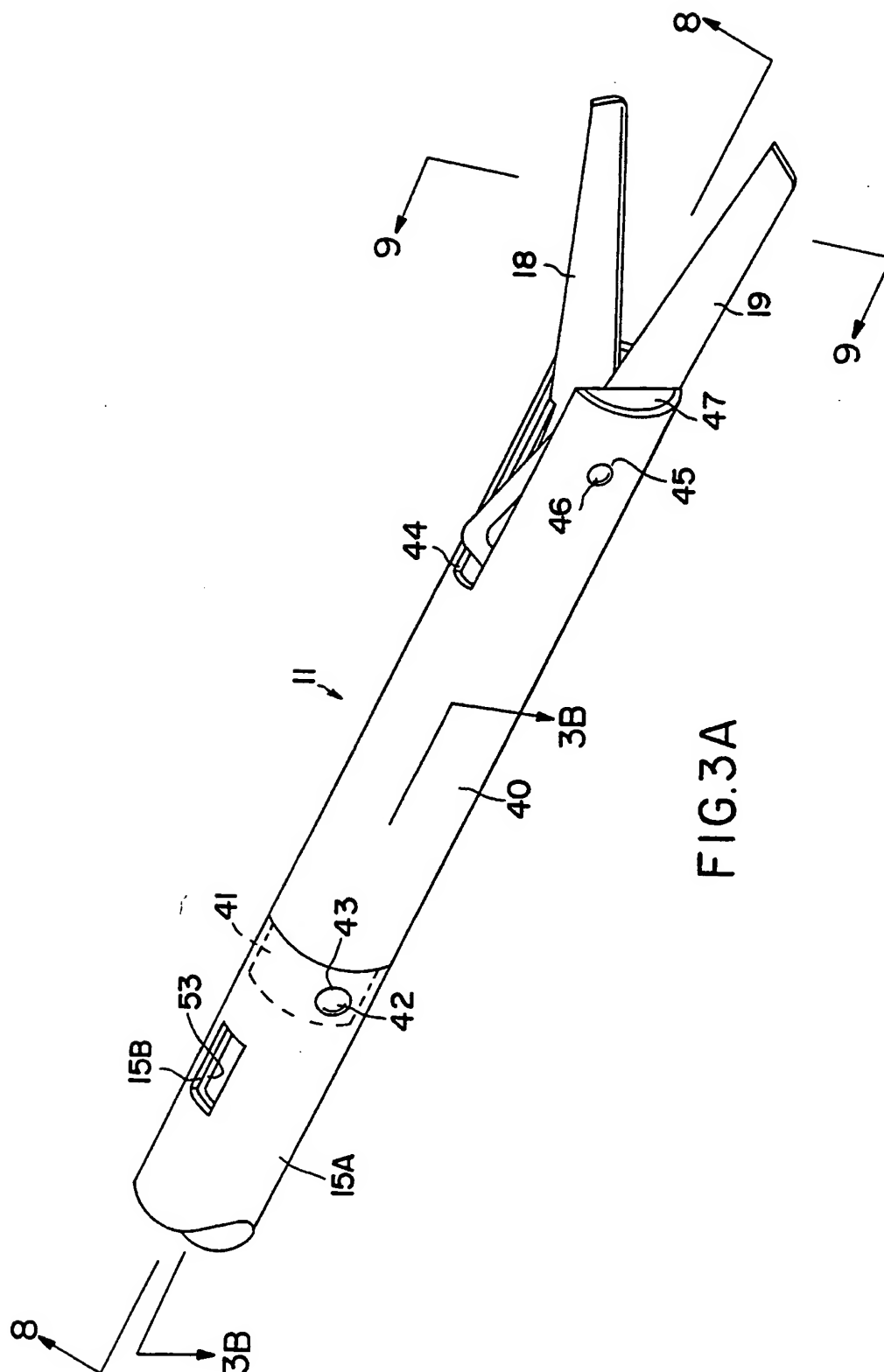


FIG. 3A

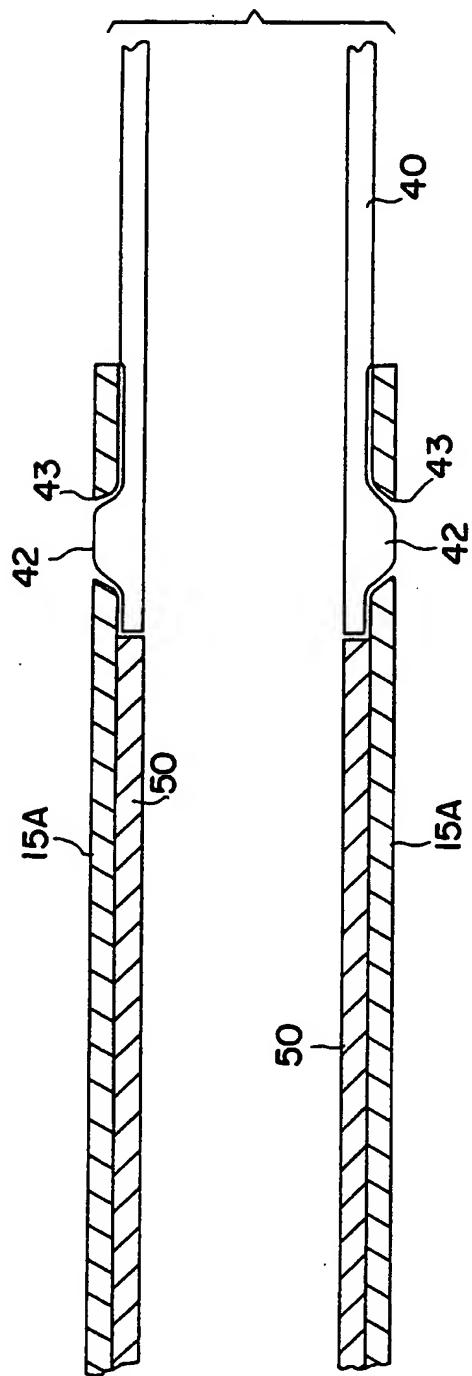
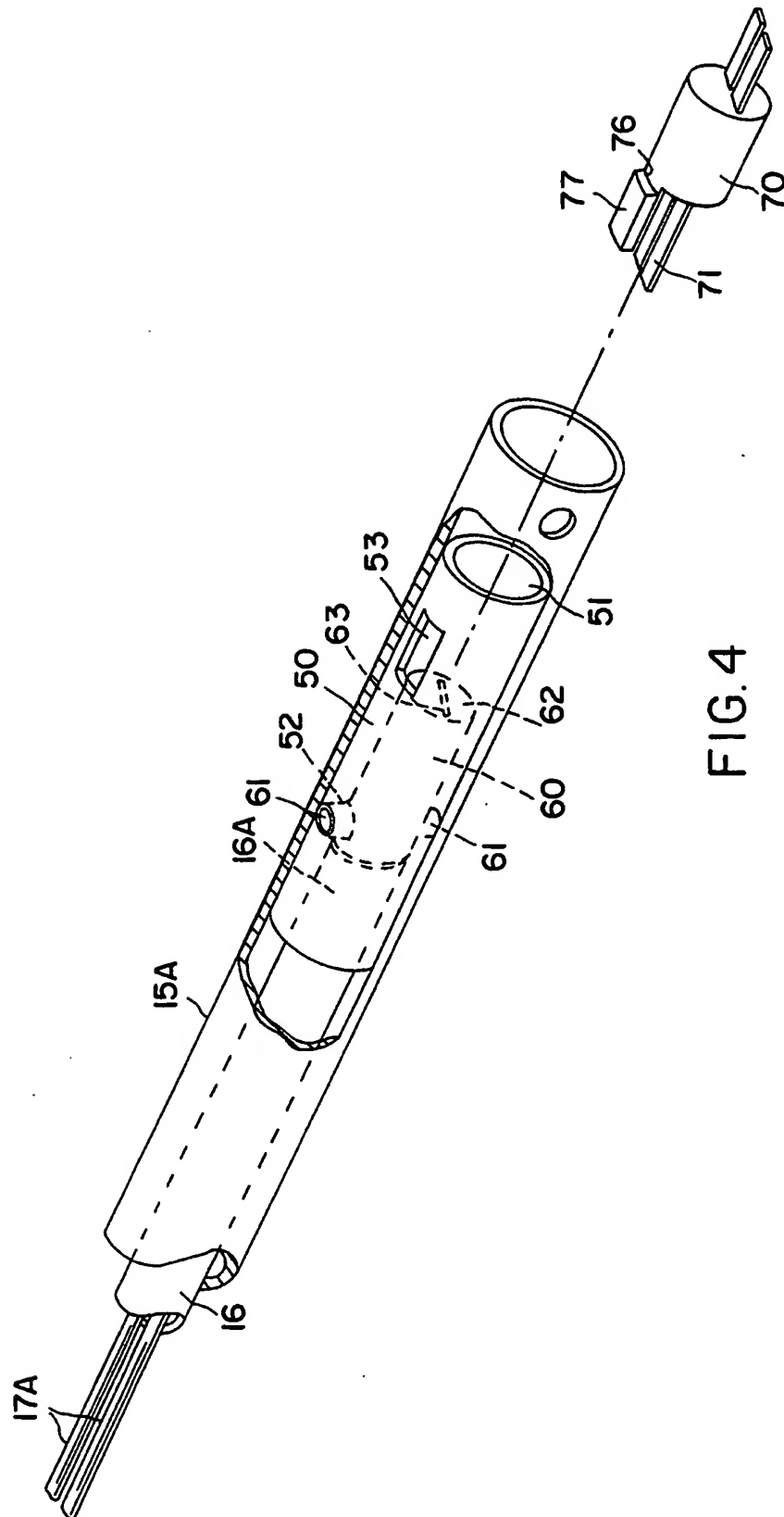
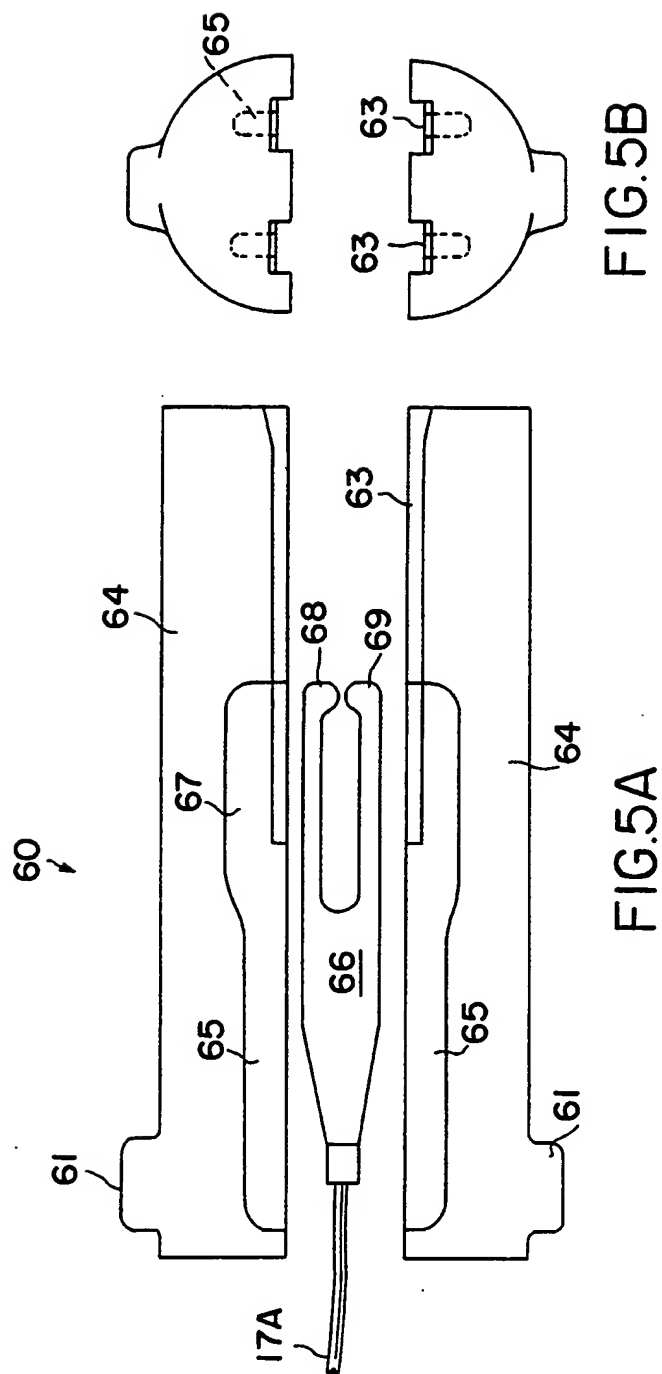


FIG. 3B

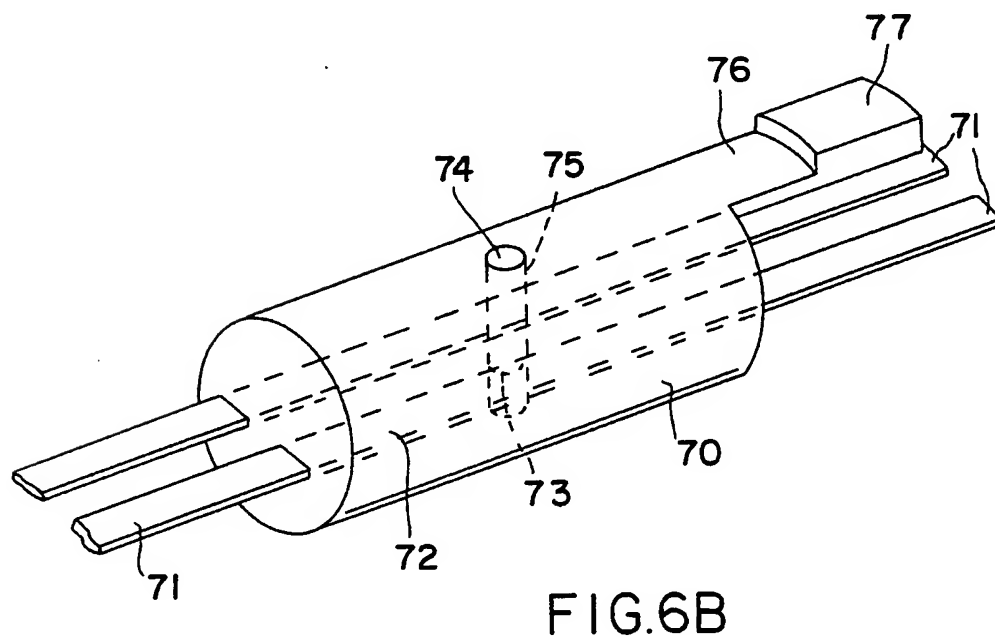
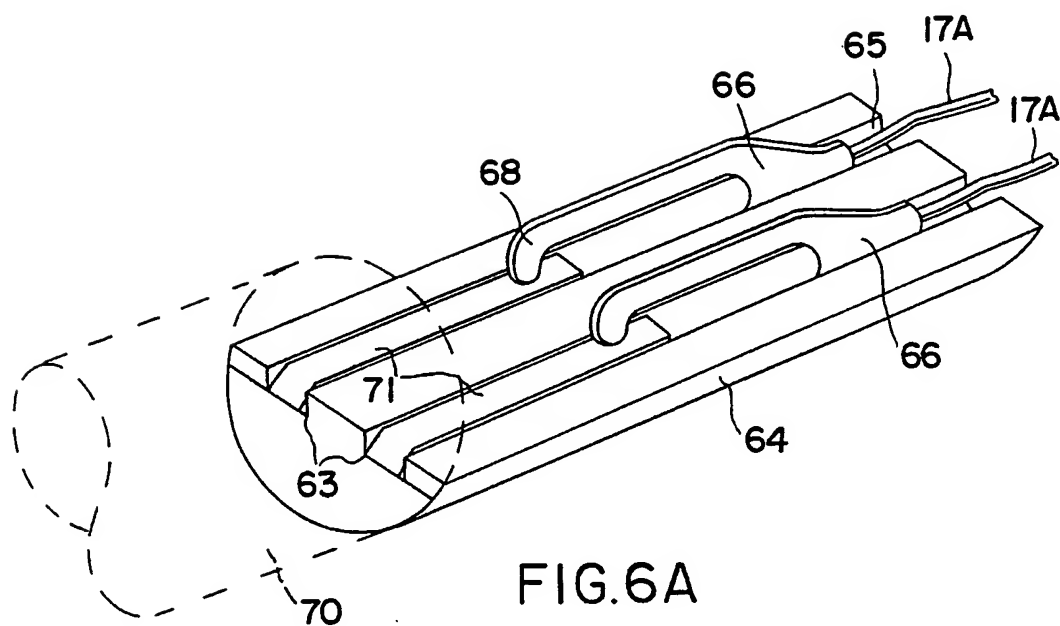
5/12



6 / 12



7/12



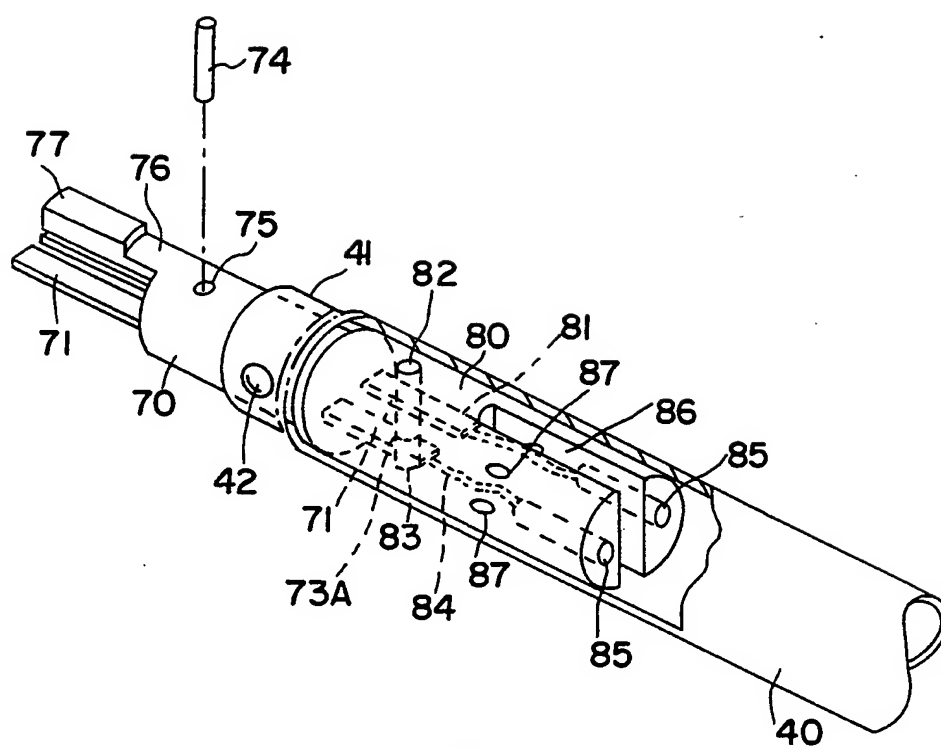


FIG. 7

9/12

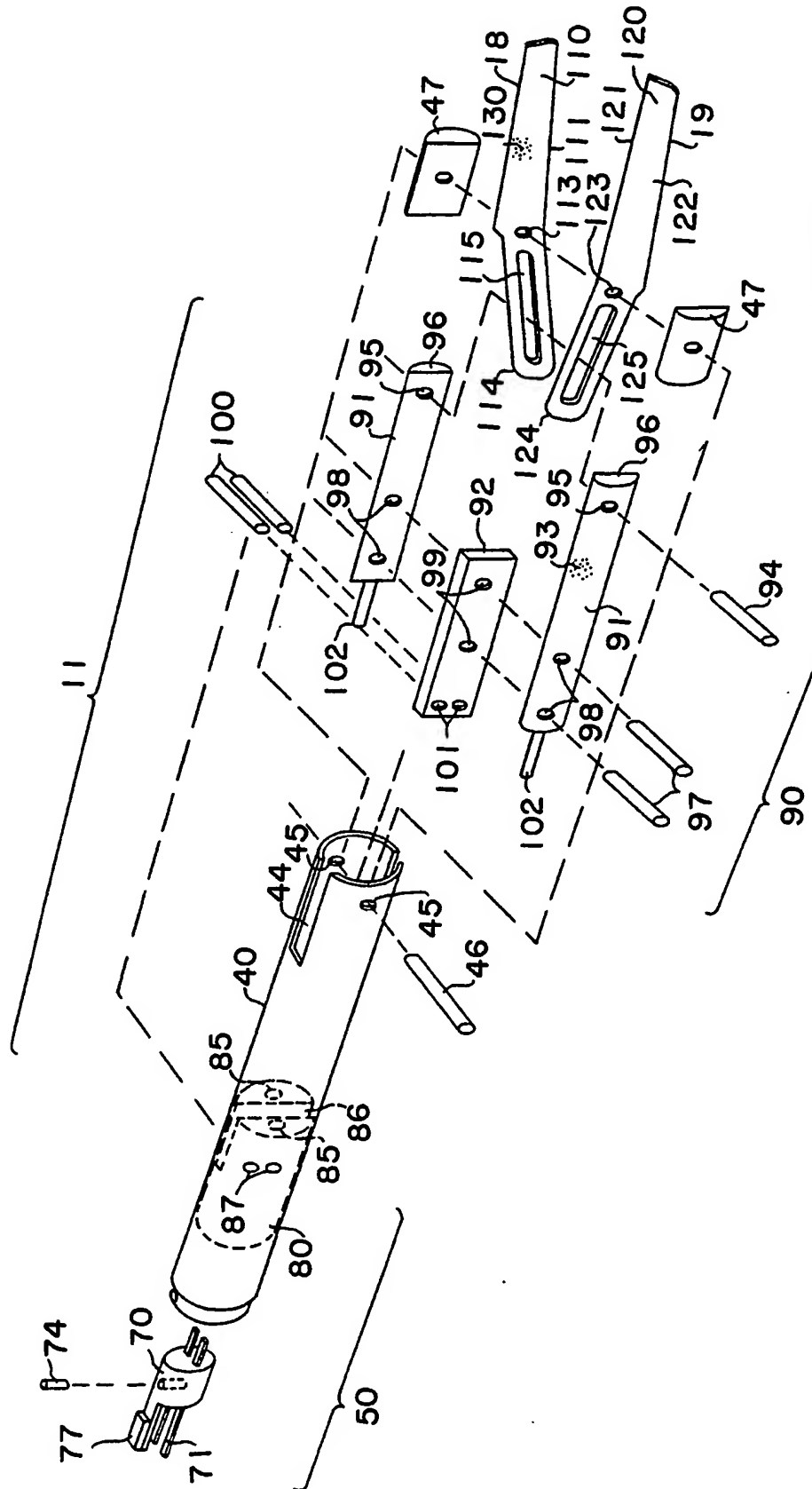


FIG. 8

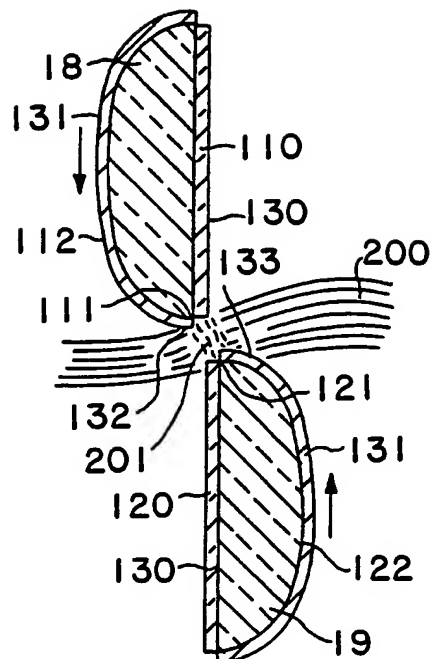


FIG. 9A

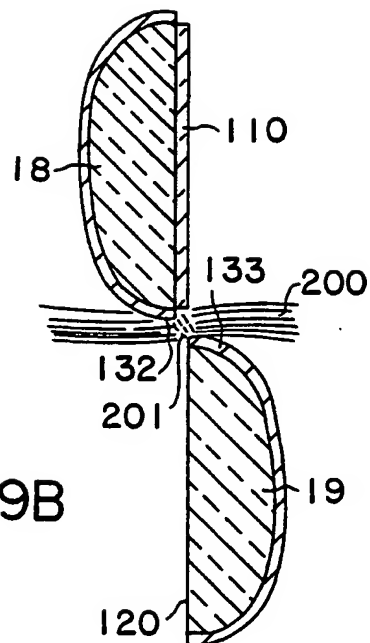


FIG. 9B

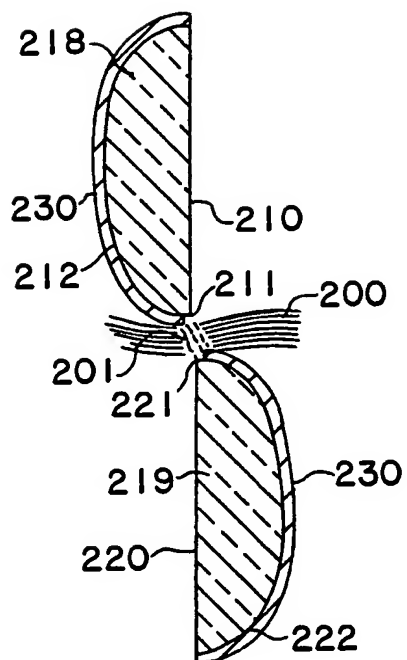


FIG. 9C

FIG.10A

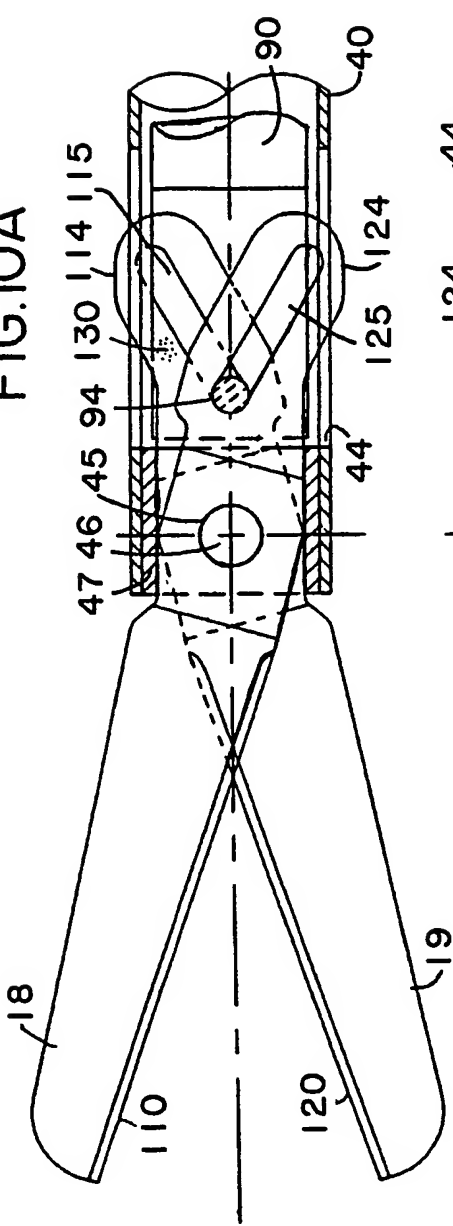
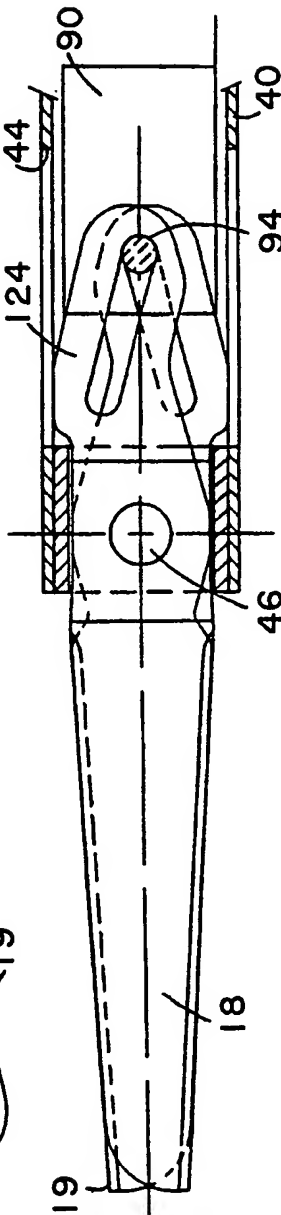


FIG.10B



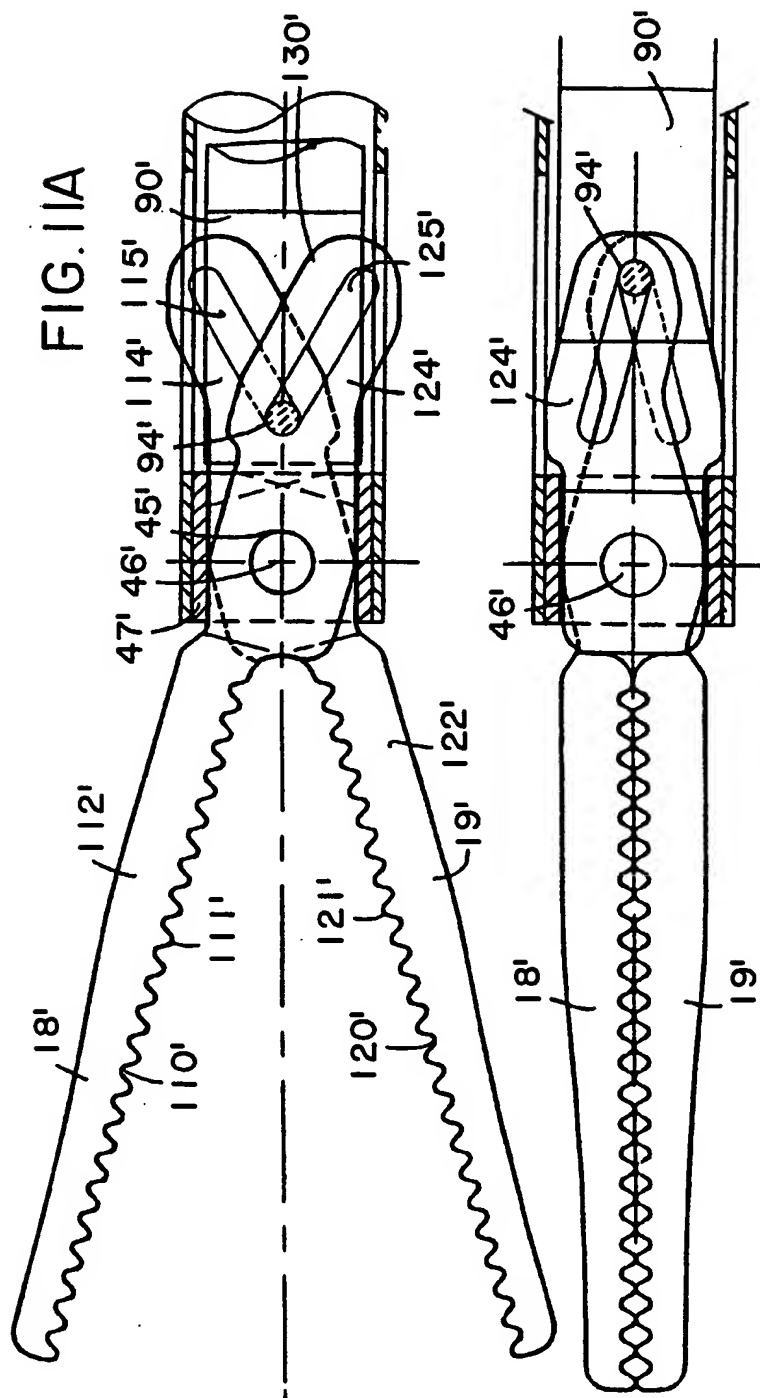


FIG. IIA

FIG. IIB

INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US93/09674

A. CLASSIFICATION OF SUBJECT MATTER IPC(5) :A61B 17/38 US CL :606/48 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S. : 128/399, 402; 606/32, 34, 40-41, 48-52, 170, 174, 110-112, 207 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched None Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Automated Patent Text Search		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,133,736 (Bales, Jr. et al.) 28 July 1992. See the Figures.	1-23
Y	US, A, 4,950,273 (Briggs) 21 August 1990. See the Figures.	1-23
Y	US, A, 3,651,811 (Hildebrandt et al.) 28 March 1972. See the Figures.	2-23
Y	GB, A, 2,037,167 (Herczog et al.) 09 July 1980. See Abstract and Figures.	5-8, 17-20
Y	FR, A, 2,355,521 (Mendez) 20 January 1978. See Abstract and Figures.	9-11, 21-23
A	US, A, 4,754,754 (Garito et al.) 05 July 1988. See Abstract and Figures.	1-23
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* "A"	Special categories of cited documents: document defining the general state of the art which is not considered to be part of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed	
Date of the actual completion of the international search 10 NOVEMBER 1993		Date of mailing of the international search report MAR 25 1994
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE		Authorized officer <i>Allen P. Zuttarelli</i> P. ZUTTARELLI Telephone No. (703) 308-0858

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/09674

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4,657,016 (Garito et al.) 14 April 1987. See Abstract and Figures.	1-23

THIS PAGE BLANK (USPTO)